

Correlations after quantum quenches in the XXZ spin chain: Failure of the Generalized Gibbs Ensemble

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B. Pozsgay, MM, M. A. Werner,
M. Kormos, G. Zarand and G. Takacs.
PRL, in press, *arXiv:1405.2843 (2014)*

Outline

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- 1 The Generalized Gibbs Ensemble (GGE)
- 2 Quenches of the Heisenberg XXZ spin chain
- 3 Real time simulation: failure of the GGE
- 4 The Quench Action (QA) GTBA and steady state correlators
- 5 Recent developments and outlook

Thermalization in isolated quantum systems

- Out-of-equilibrium, isolated quantum systems
- Steady state?

Gibbs Ensemble for nonintegrable systems

$$\hat{\rho} = \frac{1}{Z} e^{-\beta \hat{H}} \quad \langle \hat{O} \rangle = \text{Tr} \hat{\rho} \hat{O}$$

Integrable systems: infinitely many local conserved charges

$$[\hat{Q}_j, \hat{Q}_k] = 0$$

$$\hat{Q}_2 = \hat{H}$$

- Gibbs Ensemble: $\langle \hat{Q}_j \rangle = \text{Tr} \hat{\rho} \hat{Q}_j$ differs from initial state value

The Generalized Gibbs Ensemble

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$$\hat{\rho}_{\text{GGE}} = \frac{1}{Z_{\text{GGE}}} e^{-\sum_j \beta_j \hat{Q}_j} \quad \langle \hat{O} \rangle_{\text{GGE}} = \text{Tr} \hat{\rho}_{\text{GGE}} \hat{O}$$

- Includes all local conserved charges
- β_j 's are set to ensure charge conservation

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- Includes all local conserved charges
- β_j 's are set to ensure charge conservation
- Does the GGE predict other local observables correctly?
 - free theories, theories equivalent to free fermions \rightarrow yes
 - genuinely interacting systems?

The Heisenberg XXZ chain

The Heisenberg XXZ chain

$$\hat{H} = \sum_{j=1}^L \left(\sigma_j^x \sigma_{j+1}^x + \sigma_j^y \sigma_{j+1}^y + \Delta (\sigma_j^z \sigma_{j+1}^z - 1) \right)$$

- Periodic boundary conditions
- $\Delta > 1$ regime
- $\Delta \rightarrow \infty$ limit is the quantum Ising chain
- Charges: logarithmic derivatives of the transfer matrix

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- $\Delta > 1$ regime
- $\Delta \rightarrow \infty$ limit is the quantum Ising chain
- Charges: logarithmic derivatives of the transfer matrix
- **Quench:** time evolution from the ground state of a local Hamiltonian after the Hamiltonian is altered

Initial states of studied quenches

Translationally Invariant Néel state

$$|\Psi_0^N\rangle = \frac{1+\hat{T}}{\sqrt{2}} |\uparrow\downarrow\uparrow\downarrow \dots\rangle = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\uparrow\downarrow \dots\rangle + |\downarrow\uparrow\downarrow\uparrow \dots\rangle)$$

A ground state of the Ising Hamiltonian ($\Delta \rightarrow \infty$)

Translationally Invariant Dimer state

$$|\Psi_0^D\rangle = \frac{1+\hat{T}}{\sqrt{2}} \left| \frac{(\uparrow\downarrow-\downarrow\uparrow)}{\sqrt{2}} \frac{(\uparrow\downarrow-\downarrow\uparrow)}{\sqrt{2}} \dots \right\rangle$$

A ground state of the Majumdar—Ghosh Hamiltonian

GGE for XXZ quenches?

- The main question: does the GGE correctly describe the post-quench steady state?

¹B. Wouters, M. Brockmann, J. De Nardis, D. Fioretto, M. Rigol, J.-S. Caux. *PRL*, *in press*, *arXiv:1405.0172* (2014)

GGE for XXZ quenches?

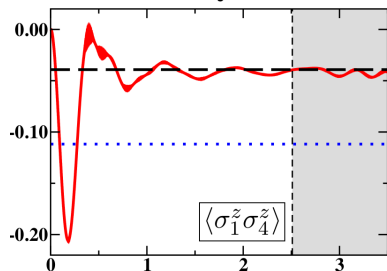
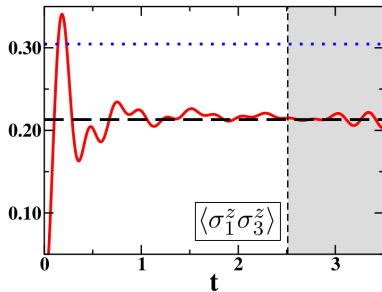
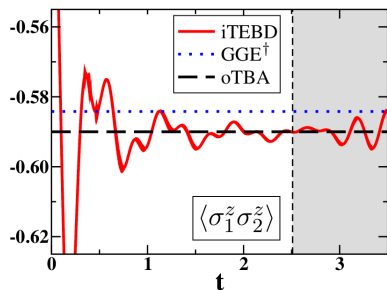
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- GGE: NOT the exact steady state GTBA string densities from Quench Action¹ (explained later)

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GGE for XXZ quenches?

- The main question: does the GGE correctly describe the post-quench steady state?
- GGE: NOT the exact steady state GTBA string densities from Quench Action¹ (explained later)
- But maybe GGE still yields the correct local correlators?

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Time evolution of zz correlations, dimer initial state, $\Delta = 4$ 

[†]GGE data from: M. Fagotti, M. Collura, F. H. L. Essler, P. Calabrese. *Phys. Rev. B* 89, 125101 (2014)

Shaded area: iTEBD simulation is unreliable

Discrepancy explained by a slow drift?

The quench action GTBA in general ²

Quench action for a TBA macrostate with string densities $\{\rho_n\}$

$$\mathcal{S}(\{\rho_n(\lambda)\}) = -\frac{2}{L} \text{Re} \ln \langle \Psi_0 | \{\rho_n(\lambda)\} \rangle - s(\{\rho_n(\lambda)\})$$

- Ψ_0 is the initial state
- λ is the Bethe ansatz rapidity
- $s(\{\rho_n(\lambda)\})$ is the entropy of the macrostate described by $\{\rho_n\}$

- Quench action: roughly the negative logarithmic overlap of all the microstates of a given $\{\rho_n(\lambda)\}$ with the initial state $|\Psi_0\rangle$

²J.-S. Caux, F. H. L. Essler. *Phys. Rev. Lett.* 110, 257203 (2013)

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- Quench action: roughly the negative logarithmic overlap of all the microstates of a given $\{\rho_n(\lambda)\}$ with the initial state $|\Psi_0\rangle$
 - In the TDL, the post-quench steady state is described by the $\{\rho_n^*(\lambda)\}$ for which $\mathcal{S}(\{\rho_n^*(\lambda)\})$ is minimal

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The quench action GTBA for the XXZ chain (oTBA) - 1

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$$s(\{\rho_n(\lambda)\}) = \frac{1}{2} \sum_{n=1}^{\infty} \int d\lambda \left[\rho_n \ln \left(1 + \frac{\rho_n^h}{\rho_n} \right) + \rho_n^h \ln \left(1 + \frac{\rho_n}{\rho_n^h} \right) \right]$$

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- Overlap term in TDL^{3 4}

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- $g_1^D(\lambda) = -\ln \frac{\sinh^4(\eta/2) \cot^2(\lambda)}{\sin(2\lambda+i\eta) \sin(2\lambda-i\eta)}$

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- $g_n^{N,D}(\lambda) = \sum_{j=1}^n g_1^{N,D} \left[\lambda + \frac{i\eta}{2} (n + 1 - 2j) \right]$

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- Conditions:
 - Bethe equations
 - $a_n(\lambda) = \rho_n(\lambda) + \rho_n^h(\lambda) + \sum_{m=1}^{\infty} [T_{nm} * \rho_m](\lambda)$
 - $a_n(\lambda)$ and $T_{nm}(\lambda)$ are known functions
 - $[a * b](\lambda) = \int_{-\pi/2}^{\pi/2} d\lambda' a(\lambda') b(\lambda - \lambda')$

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Introducing a term μn in the quench action

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Introducing a term μn in the quench action
- Variational calculus yields the minimum condition equations

The quench action GTBA for the XXZ chain (oTBA)

The quench action GTBA (overlap TBA) equations for $\eta_n = \frac{\rho_n^h}{\rho_n}$

$$\ln \eta_n(\lambda) = g_n(\lambda) + \mu n + \sum_{m=1}^{\infty} [T_{nm} * \ln (1 + \eta_m^{-1})](\lambda) \quad (1)$$

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 - $\mathcal{S}(\{\rho_n^*(\lambda)\}) = -\frac{1}{L} \ln \langle \Psi_0 | \Psi_0 \rangle = 0$
 - Steady state value of $\langle Q_j \rangle$'s should be equal to initial state values

Calculating steady state correlations from GTBA densities

- A numerically established conjecture: steady state correlations from the $\eta_n(\lambda)$ saddle point solutions⁵

⁵MM and B. Pozsgay. *J. Stat. Mech.: Theor. Exp.*, in press, *arXiv:1405.0232 (2014)*

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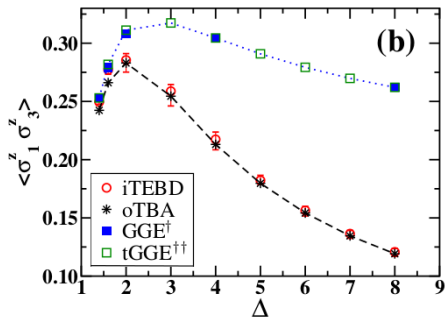
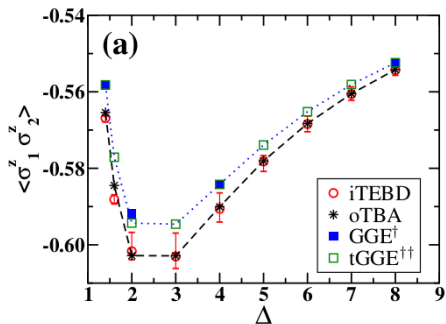
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- Further correlators: conjectured by analogy with the QTM method formulas for correlators⁶

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GGE vs. oTBA: steady state correlations plotted against anisotropy. Dimer initial state.



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^{††} truncated GGE data from: B. Pozsgay. *J. Stat. Mech.* P07003 (2013)

Recent developments and outlook

- There are infinitely many ρ_n states with the same expectation values of charges
- The GGE yields the state that has the maximal conditional entropy if the Q_j charges are fixed, which is not physically relevant⁷

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- The GGE works for the q-boson lattice model (genuinely interacting model, but no strings)⁹
- Can we find a generally valid macroscopic statistical ensemble (instead of the present GGE)?

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- The GGE was expected to describe the post-quench steady states of integrable systems
- The GGE has been refuted for the XXZ chain by real time numerical simulations of correlations
- Quench action GTBA (σ TBA) predicts steady-state correlations correctly
- Open question: finding a macroscopic ensemble describing the post-quench steady state of the XXZ chain

Acknowledgments

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